

Docket No.: WEBSEN.034A

Customer No. 20,995 #4

5/18/04
A.W.

INFORMATION DISCLOSURE STATEMENT

Applicant : Kester, et al.
App. No. : 10/017,750
Filed : December 7, 2001
For : SYSTEM AND METHOD FOR
ADAPTING AN INTERNET FILTER
Examiner : Unknown
Group Art Unit : 2171

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Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

APR 22 2004

Technology Center 2100

Dear Sir:

Enclosed is form PTO-1449 listing thirty one (31) references that are also enclosed.

This Information Disclosure Statement is being filed before the receipt of a first Office Action on the merits, and presumably no fee is required in accordance with 37 C.F.R. § 1.97(b)(3). If a first Office Action on the merits was mailed before the mailing date of this Statement, the Commissioner is authorized to charge the fee set forth in 37 C.F.R. § 1.17(p) to Deposit Account No. 11-1410.

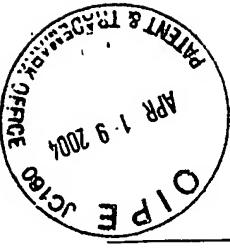
Respectfully submitted,

KNOBBE, MARTENS, OLSON & BEAR, LLP

Dated: 4/14/04

By: James F. Herkenhoff

James F. Herkenhoff
Registration No. 51,241
Attorney of Record
Customer No. 20,995
(619) 235-8550



2171

PATENT

Case Docket No. WEBSEN.034A
Date: April 14, 2004

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants : Kester, et al.
Appl. No. : 10/017,750
Filed : December 7, 2001
For : SYSTEM AND METHOD FOR
ADAPTING AN INTERNET
FILTER
Examiner : Unknown
Group Art Unit : 2171

I hereby certify that this correspondence and all marked attachments are being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on

April 14, 2004

(Date)

James F. Herkenhoff, Reg. No. 51,241

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TRANSMITTAL LETTER

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

Enclosed for filing in the above-identified application are:

- (X) An Information Disclosure Statement.
- (X) A PTO Form 1449 with thirty one (31) references.
- (X) The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment, to Account No. 11-1410.
- (X) Return prepaid postcard.

James F. Herkenhoff
Registration No. 51,241
Attorney of Record
Customer No. 20,995
(619) 235-8550



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|--|--|---------------------------------|-------------------------------|
| U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE | | ATTY. DOCKET NO. WEBSEN.034A | APPLICATION NO. 10/017,750 |
| INFORMATION DISCLOSURE STATEMENT BY APPLICANT | | | |
| (USE SEVERAL SHEETS IF NECESSARY) | | | |
| | | FILING DATE December 7, 2001 | GROUP 2171 |

U.S. PATENT DOCUMENTS

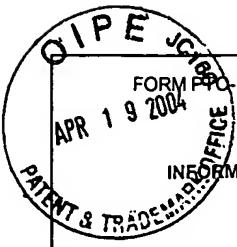
| EXAMINER INITIAL | DOCUMENT NUMBER | DATE | NAME | CLASS | SUBCLASS | FILING DATE (IF APPROPRIATE) |
|------------------|-----------------|----------|-------------------|-------|----------|------------------------------|
| 1 | 4,423,414 | 12/27/83 | Bryant et al. | | | |
| 2 | 4,941,084 | 07/10/90 | Terada et al. | | | |
| 3 | 5,493,692 | 2/20/96 | Theimer, et al. | | | |
| 4 | 5,548,729 | 08/20/96 | Akiyoshi et al. | | | |
| 5 | 5,555,376 | 9/10/96 | Theimer, et al. | | | |
| 6 | 5,682,325 | 10/28/97 | Lightfoot, et al. | | | |
| 7 | 5,696,486 | 12/9/97 | Poliquin, et al. | | | APR 22 2004 |
| 8 | 5,696,898 | 12/9/97 | Baker, et al. | | | Technology Center 2100 |
| 9 | 5,774,668 | 6/30/98 | Choquier, et al. | | | |
| 10 | 5,787,427 | 7/28/98 | Benantar, et al | | | |
| 11 | 5,832,228 | 11/3/98 | Holden, et al. | | | |
| 12 | 5,832,503 | 11/3/98 | Malik, et al. | | | |
| 13 | 5,848,233 | 12/08/98 | Radia et al. | | | |
| 14 | 5,850,523 | 12/15/98 | Gretta, Jr. | | | |
| 15 | 5,892,905 | 4/6/99 | Brandt, et al. | | | |
| 16 | 5,896,502 | 4/20/99 | Shieh, et al. | | | |
| 17 | 5,941,947 | 8/24/99 | Brown, et al. | | | |
| 18 | 5,958,015 | 9/28/99 | Dascalu | | | |
| 19 | 5,991,807 | 11/23/99 | Schmidt, et al. | | | |
| 20 | 6,052,723 | 4/18/00 | Ginn | | | |
| 21 | 6,065,059 | 5/16/00 | Shieh, et al. | | | |
| 22 | 6,182,118 B1 | 1/30/01 | Finney, et al. | | | |
| 23 | 6,338,088 B1 | 1/8/02 | Waters, et al. | | | |
| 24 | 6,456,306 B1 | 9/24/02 | Chin, et al. | | | |

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|--|-----------------|
| EXAMINER | DATE CONSIDERED |
| *EXAMINER: INITIAL IF CITATION CONSIDERED, WHETHER OR NOT CITATION IS IN CONFORMANCE WITH MPEP 609; DRAW LINE THROUGH CITATION IF NOT IN CONFORMANCE AND NOT CONSIDERED, INCLUDE COPY OF THIS FORM WITH NEXT COMMUNICATION TO APPLICANT. | |



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|---|--|--------------------------------------|
|  U. S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE INFORMATION DISCLOSURE STATEMENT BY APPLICANT (USE SEVERAL SHEETS IF NECESSARY) | ATTY. DOCKET NO. WEBSEN.034A | APPLICATION NO. 10/017,750 |
| | APPLICANT Kester, et al. | |
| | FILING DATE December 7, 2001 | GROUP 2171 |

FOREIGN PATENT DOCUMENTS

| EXAMINER INITIAL | DOCUMENT NUMBER | DATE | COUNTRY | CLASS | SUBCLASS | TRANSLATION | |
|---------------------|-----------------|----------|---------|-------|----------|------------------------|----|
| | | | | | | YES | NO |
| 25 | 0 658 837 A2 | 12/13/94 | EPO | | | | |
| 26 | WO 92/19054 | 10/29/92 | PCT | | | | |
| 27 | WO 96/42041 | 12/27/96 | PCT | | | RECEIVED | |
| | | | | | | APR 22 2004 | |
| | | | | | | Technology Center 2100 | |

**EXAMINER
INITIAL**

OTHER DOCUMENTS (INCLUDING AUTHOR, TITLE, DATE, PERTINENT PAGES, ETC.)

- | | |
|----|---|
| 28 | Sandhu, et al., Access Control: Principles and Practice, IEEE Communications Magazine, pp. 40-48, September 1994 |
| 29 | C. L. Schuba and E. H. Spafford. Countering abuse of name-based authentication. In 22nd Annual Telecommunications Policy Research Conference, 21 pp., 1996 |
| 30 | SurfControl plc, SuperScout Web Filter Reviewer's Guide, 36 pp., 2002 |
| 31 | Yialelis, et al., Role-Based Security for Distributed Object Systems, Proceedings of the IEEE Fifty Workshops on Enabling Technology: Infrastructure for Collaborative Enterprises (WET ICE '96), 6 pp., June 19-21, 1996 |

S:\DOCS\JFH\JFH-4367.DOC
040804

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